

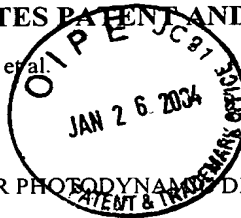
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Denis-Claude ROY, et al.

SERIAL NO: 10/088,072

FILED: March 20, 2002

FOR: RHODAMINE DERIVATIVES FOR PHOTO DYNAMICS DIAGNOSIS AND TREATMENT



GAU: 1651

EXAMINER: AFREMOVA, V.

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

220303US0X PCT

SERIAL NO.

10/088,072

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Denis-Claude ROY, et al.

FILING DATE

March 20, 2002

GROUP

1651

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,556,992	09/17/96	GABOURY ET AL			
	AB	5,773,460	06/30/98	GABOURY ET AL			
	AC	4,612,007	09/16/86	EDELSON			
	AD	5,798,523	08/25/98	VILLENEUVE ET AL			
	AE						
	AF						
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	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

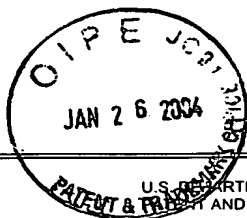
	AW	Cavazzana-Calvo M., et al. (1990) Transplantation, 50:1-7.
	AX	Tittle, T.V. et al. (1997) Blood 89-4652-58
	AY	Harris, D.T. et al. (1999) Bone Marrow Transplantation, 23:137-44
	AZ	Daniell, M.D., Hill, J.S. (1991) Aust. N.Z. Surg., 61:340-348

☒ Additional References sheet(s) attached

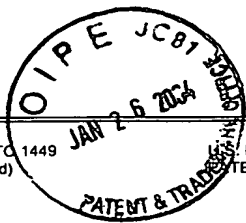
Examiner

Date Considered

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 220303US0X PCT	SERIAL NO. 10/088,072
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	BA	Raab, O. (1990) Infusoria Z., Biol., 39:524-546			
	BB	Tappeiner, V.H., Jesionek A. (1903) Muench Med Wochenschr, 47:2042-2044			
	BC	Hausman W. (1911) Biochem Z., 30:276-316			
	BD	Dougherty, T.J. (1974) J. Natl. Cancer Inst., 51:1333-1336			
	BE	Dougherty, T.J. et al. (1975) J. Natl. Cancer Inst., 55:115-121.			
	BF	Dougherty, T.J. et al. (1978) Cancer Reserch, 38:2628-2635.			
	BG	Dougherty, T.J. (1984) Urol. Suppl., 23:61-64			
	BH	Dougherty, T.J. (1987) Photochem. Photobiol., 45:879-889			
	BI	Greinix, H.T., et al. Blood (1998) 92:3098-3104.			
	BJ	Hunt, D.W., et al. (1999) Immunopharmacology, 41:31-44.			
	BK	Hryhorenko E.A., et al. (1998) Immunopharmacology, 40:231-40.			
	BL	King, D.E., et al. (1999) Scand. J. Immunol. 49:184-92.			
	BM	Zic, J.A., et al., Therapeutic Apheresis (1999) 3:50-62.			
	BN	Darzynkiewick, Z., Carter S. (1988) Cancer Researach, 48:1295-1299.			
	BO	Oseroff, A.R. (1992) In Photodynamic therapy (Henderson, B.W., Dougherty, T.J., eds.) New York:Marcel Dekker, pp. 79-91.			
	BP	Bernal, S.D., et al., (1983 Science, 222: 169-172.			
	BQ	Powers, S.K., et al. (1987) J. Neurosurg., 67:889—894			
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	BR	Pilarski, L.M. (1995) American Journal of Hematology, 49:323-335..			
	BS	Mortiere, P., et al. (1990) Photochemistry and Photobiology, 52(4):703-710.			
	BT	Chi-Wei Lin (1990) In Photodynamic therapy of neoplastic disease, Vol. II, CRC Press, pp. 79-101.			
	BU	Albert, M.L., et al. Nature (1998) 392-86-89			
	BV	Lozzio, B.B. and Lozzio, C.B. (1979) Cancer Research, 3(6):363-370.			
	BW	Roy, D.C., et al., JNCI (1996), 88:1136-1145.			
	BX	Taswell C., The Journal of Immunology (1981) 126:1614-1619.			
	BY	Graft-vs-Host Disease, Ferrara, J.L.M., Deeg, H.J., Burakoff, S.J., eds., Marcel Dekker, New York (1997).			
	BZ	Bone Marrow Transplantation, Forman, S.J., Blume K.G., Thomas, E.D., eds., Blackwell Scientific Publications, Cambridge, MA, USA, (1994).			
	CA	Sullivan, K.M., et al., Am. Soc. Hematol., Educ. Program Book, (1998), 198-214,			
	CB	PAL, PRABIR ET AL: "Phototoxicity of Some Bromine-Substituted Rhodamine Dyes: Synthesis, Photophysical Properties and Application as Photosensitizers", PHOTOCHEM. PHOTOBIO. (1996), 63(2), 161-8, XP-000979188.			
	CC	Villeneuve, Luc: "Ex vivo photodynamic purging in chronic myelogenous leukemia and other neoplasias with rhodamine derivatives", Biotechnol. Appl. Biochem. (1999), 30(1), 1-17, XP000979118, page 1.			
	CD	Faseb Journal, (April 20, 2000), Vol. 14, No. 6, pp A-1074 - Abstract			
	CE	Christof, L., et al (1998) British Journal of Haematology, 101:722-727			
	CF				
	CG				
	CH				
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